



State of Connecticut  
Department of Banking  
Consumer Credit Division  
260 Constitution Plaza, Hartford, CT 06103



**LOAN ORIGINATOR ADDENDUM FORM**

(Must be completed and signed by all applicants applying for a loan originator registration)

COMPANY NAME \_\_\_\_\_ LICENSE # \_\_\_\_\_

DBA NAME (IF APPLICABLE) \_\_\_\_\_

LOAN ORIGINATOR NAME \_\_\_\_\_

*Please print full given name legibly*

- |   | YES                      | NO                       |
|---|--------------------------|--------------------------|
| 1. Have you ever been convicted in any state or federal court of any crime (not including motor vehicle traffic misdemeanors)?  | <input type="checkbox"/> | <input type="checkbox"/> |
| 2. Have you ever been the subject of actions (cease and desist orders, consent orders, injunctions, license suspensions or revocations, etc.) before any regulatory agency?   | <input type="checkbox"/> | <input type="checkbox"/> |
| 3. Have you ever been refused any license (except motor vehicle operator) by the Department of Banking or any other governmental agency or had withdrawn such an application?   | <input type="checkbox"/> | <input type="checkbox"/> |
| 4. Have you ever been a defendant in any litigation of any type (including suits under the State or Federal Truth in Lending Act) filed against the originator, in connection with the granting or arranging of mortgage loans? | <input type="checkbox"/> | <input type="checkbox"/> |

If any question(s) are answered "**YES**," please respond to the below questions and provide any legal documentation, if applicable. (Please provide an attachment if additional space is required)

Name and seriousness of event:

Date of event:

Location where event occurred (city, county, state):

Age at time of event:

Number of times this same type of event occurred:

Indictment date if any:

Conviction date if any:

Place of indictment/conviction (city, county, state):

Terms of sentence:

Fines imposed:

Terms of probation:

Current status of sentence/fines/probation:

Under the penalty of perjury, I hereby certify that all of the foregoing representations and information are true and correct to the best of my knowledge. I understand that false statements and/or material misrepresentations may result in a revocation of my registration or other disciplinary action. Submit this form with an original signature.

\_\_\_\_\_  
LOAN ORIGINATOR SIGNATURE

\_\_\_\_\_  
DATE